

M a 3-dim manifold

$$x \in M, \xi_x \subseteq T_x M$$

ξ a 2-dim plane field (sub-bundle of TM)

α 1-form, locally $\xi = \text{Ker} \alpha$

$$\alpha \wedge d\alpha, \quad (\alpha \wedge d\alpha)_x = f(x) \cdot \omega_x$$

if $\alpha \wedge d\alpha \begin{cases} = 0 \\ \text{positive} \cdot \text{volume form} : \alpha \text{ is} \\ \text{negative} \cdot \text{volume form} \end{cases} \begin{cases} \text{Foliation} \\ \text{Positive Contact Structure} \\ \text{Negative Contact Structure} \end{cases}$

$f(x) \geq 0 \leq 0$ Confoliation.

Thm. ξ is a foliation iff ξ is closed under Lie bracket.

$$u, v \in \xi_x, \quad \alpha([u, v]) = \cancel{d_x(\alpha(u))} - \cancel{d_x(\alpha(v))} - d\alpha(u, v) = -d\alpha(u, v)$$

$$\alpha \wedge d\alpha \neq 0 \Leftrightarrow \exists w \notin \xi, \quad u, v \in \xi, \quad (\alpha \wedge d\alpha)(w, v, u) = \alpha(w) d\alpha(u, v)$$

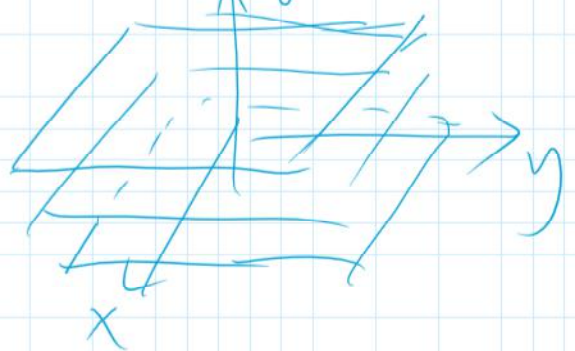
Examples. $d\alpha(u, v) \neq 0 \Leftrightarrow [u, v] \notin \text{Ker} \alpha \Leftrightarrow \xi$ not closed under $[\cdot, \cdot]$

$\mathbb{R}^3, \alpha = dz, \quad d\alpha = 0, \quad \alpha \wedge d\alpha = 0$ foliation. $\text{ker}(\alpha)_y$

$$\alpha = dz - ydx, \quad d\alpha = -dy \wedge dx = dx \wedge dy$$

$$\alpha \wedge d\alpha = dx \wedge dy \wedge dz$$

positive Contact structure.



$$\alpha = dz + ydx$$

negative " " "

$$M = S^1 \times \Sigma \xrightarrow{\text{2-mfld.}} \mathbb{R}^2 \times \Sigma$$

parametrized by θ

$$S^3 \subseteq \mathbb{R}^4 = \mathbb{C}^2, \quad \alpha = r_1^2 d\theta_1 + r_2^2 d\theta_2$$

(r_i, θ_i) polar coord. in \mathbb{C}

Lem. ξ local coord. change $\Rightarrow \text{Ker}(dz - \alpha(x, y, z)dx)$

$$\text{Ker} \alpha = \langle r_2 \partial_{r_1} - r_1 \partial_{r_2}, r_2^2 \partial_{\theta_1} - r_1^2 \partial_{\theta_2} \rangle$$

$\partial_{r_i} \mapsto \frac{-\partial_{\theta_i}}{r_i}$
 $\partial_{\theta_i} \mapsto r_i \partial_{r_i}$

$\frac{\partial \alpha}{\partial y} \begin{cases} = 0 & \text{foliation.} \\ > 0 & \text{positive contact structure.} \\ < 0 & \text{negative} \end{cases}$

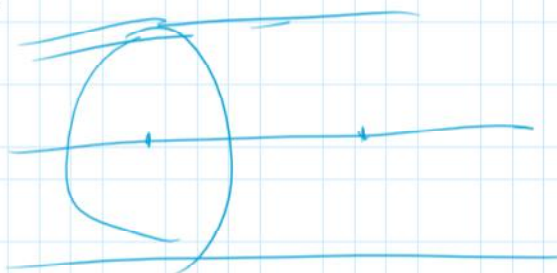
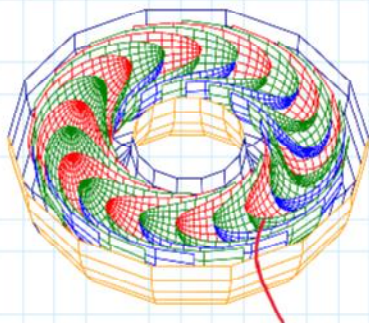
$\text{Ker}(dz)$
 $dz - ydx$
 $dz + ydx$

Thm. ξ_t is 1-parameter family of contact structures on M , then there exists isotopy $\psi: M \times I \rightarrow M$, such that $(\psi_t)_* \xi_0 = \xi_t$.

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Reeb Foliation: Foliation as the level sets of $\sqrt{1-r^2}e^z$.

$$T = \{r, \theta, z | r \leq 1\} / (z \sim z + 1)$$



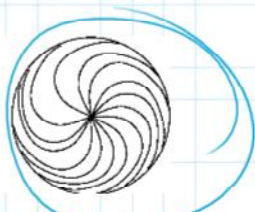
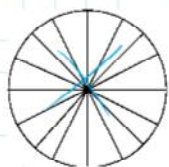
Foliation (M, ξ) is **Reebless** if the foliation has no reeb components.

Overtwisted Disk: $T = \{r, \theta, z | r \leq \pi\} / \sim, D' = \{z = \epsilon r^2\} \subseteq T$

$\alpha = \cos r dz + r \sin r d\theta$ induces a foliation on D'

(The foliation given by $\xi \cap TD' \subseteq TD'$):

$\theta = \theta_0 - 2\epsilon \log \sin r$ away from $r = 0$ (singular points)



Contact Structure (M, ξ) is **Tight** if it has no embedded overtwisted disks.

Thm. For a closed, oriented 3-mfd
Homotopy classes of plane fields \Leftrightarrow
Isotopy classes of overtwisted contact structures

closed

Thm. Reebless foliation or Tight positive contact structure ξ ,
embedded surface $\Sigma \subseteq M$ which is not a sphere. Then
 $|\langle e(\xi), [\Sigma] \rangle| \leq -\chi(\Sigma)$.

$$\in H^2(M) \subset H_2(M)$$

Cor. Only finite many elements in $H^2(M, \mathbb{Z})$ can be the Euler class of some plain fields.

(global)
pick vector field $v \in \mathfrak{g}$.
0-locus of v .
 $\hookrightarrow M'$: push off of M by v
 $M \times M'$: 4-mfd.
 $[\langle M \times M' \rangle, [\Sigma]] = \langle e(\xi), [\Sigma] \rangle$

Taut and Weak symplectically semi-fillable:

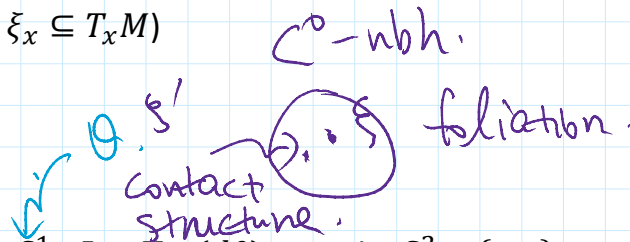
Foliation ξ is taut if \exists a closed curve intersects with all leaves transversally.

Contact structure ξ is WSSF if (M, ξ) is a component of (M', ξ') which is dominated by symplectic manifold (X, ω) ($\omega(v, w) > 0, (v, w)$: oriented basis of ξ')

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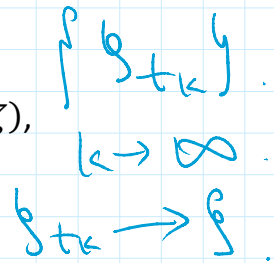
WSSF \Rightarrow Tight, Taut \Rightarrow Reebless. $\partial X = M'$. $d\alpha = \omega|_{\partial X}$.

Space of plane fields \Leftrightarrow Space of \mathbb{P}^2 valued functions on M .
 (The normal vector of $\xi_x \subseteq T_x M$)



Special Foliation: $S^2 \times S^1$, $\zeta = \text{Ker}(d\theta)$ given by $S^2 \times \{pt.\}$

Thm. Oriented C^2 foliation ξ on oriented 3-mfd M , other than $(S^2 \times S^1, \zeta)$, can be approximated by a positive/negative contact structure.



Example: \mathbb{T}^3 , $dz + t(\cos 2\pi n z dx + \sin 2\pi n z dy)$

Why is $(S^2 \times S^1, \zeta)$ special?

Thm. If (M, ξ) contains 2-sphere $S \subseteq M$ and $T_x S = \xi_x$ for any $x \in S$. Then $(M, \xi) \cong (S^2 \times S^1, \zeta)$
 Any cofoliation of $S^2 \times S^1$ is diffeomorphic to ζ in a C^0 -nbh.

$$\alpha \wedge d\alpha \geq 0 \quad (\leq 0)$$

Proof of the theorem.

Holonomy along a closed curve γ , which is tangent to ξ : the following map $\varphi: I \rightarrow I, x \mapsto y$ ($I \times S^1$ embeds into M , S^1 into γ , I transverse to ξ)

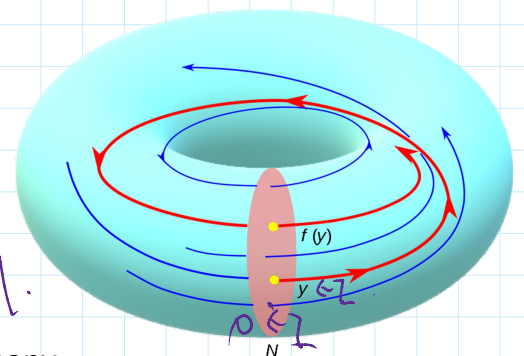
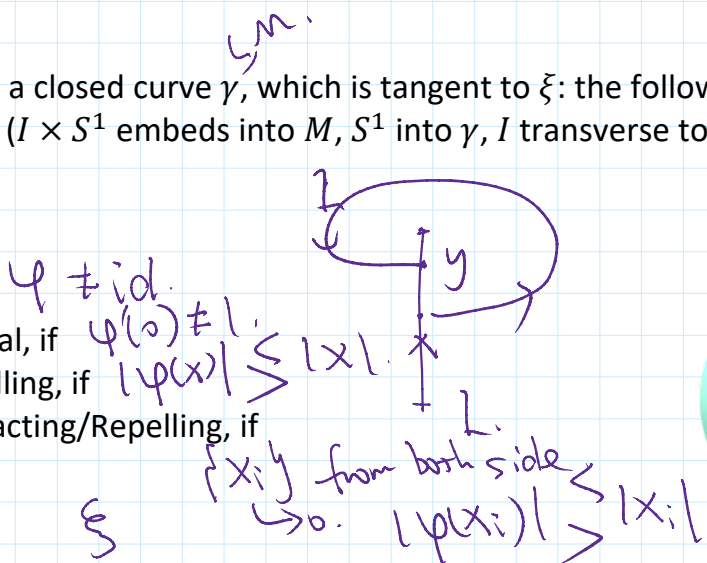
Holonomy φ is:

Nontrivial, if

Linearly nontrivial, if

Attracting/Repelling, if

Sometimes Attracting/Repelling, if



(a) We can C^0 perturb it into a foliation which has only finite many closed leaves.

Def. A **minimal set**: closed union of leaves which contains no closed union of leaves as a proper subset.

For a foliation after (a), M consists of:

Finite many closed leaves and some **exceptional minimal sets** (Minimal set which is neither closed leaf nor the entire mfd)

Or, M itself is a minimal set (ξ is **minimal**).

↳ linearly nontrivial holonomy.

If M is not minimal: it has linearly nontrivial holonomy (Sachsteder, 1965)

If M is minimal:

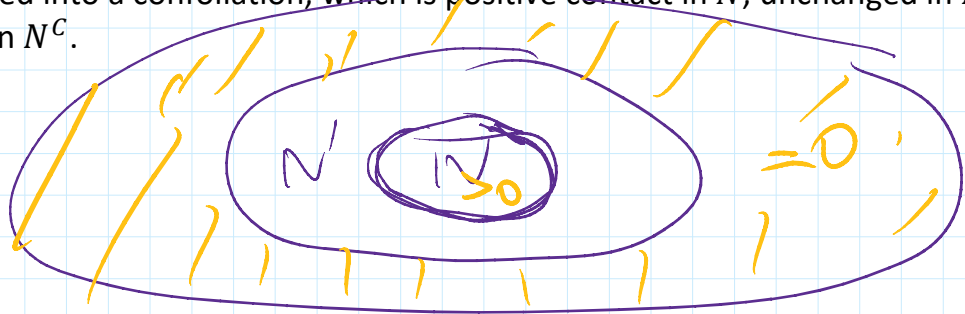
(a') Approximate ξ by a fibration over S^1 (Tischler)

(a'') **The fiber is not S^2 !** Approximate it by foliation with 2 closed leaves.

⊙

$$\alpha \wedge d\alpha \geq 0$$

(b) Thm. (M, ξ) is C^k -foliation, γ tangent to ξ , has linearly nontrivial holonomy. Then $\exists N \subset N' \subset M$, ξ can be C^k -deformed into a confoliation, which is positive contact in N , unchanged in N'^c , diffeomorphic to ξ in N^c .



(c) If confoliation ξ has contact region $H(\xi)$, and any x connect to $H(\xi)$ by some path tangent to ξ . Then ξ can be deformed into a contact structure.

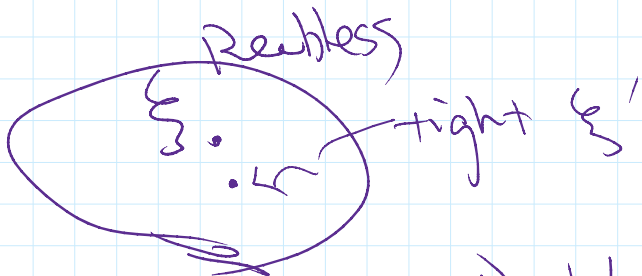
$$\int \frac{\partial}{\partial t} \beta = *(\alpha \wedge d\alpha + \beta \wedge d\alpha)$$

$$\beta(0) = \alpha. \quad f = *(\alpha \wedge d\beta + \beta \wedge d\alpha)$$

$x \in M \mid (\alpha \wedge d\alpha)_x > 0 \iff y \in M$

$H(\xi)$

Thm. Reebless (Taut) foliation ξ approximated by a contact structure ξ' , then ξ' is tight (WSSF). The inverse is not always true!



Foliation \supset Reebless \supset Taut



Contact
Structure. \rightarrow

Tight \rightarrow

WS^usf

cont. mfd.

Sympl. mfd.

(v, w) oriented basis ξ .

(M, ξ) weakly filled by (X, ω) : $M = \partial X$, $\omega(v, w) > 0$.

v transverse to TM .

(M, ξ) strongly filled by (X, ω) : $M = \partial X$, \exists Dialating vector field v near ∂X :

$\mathcal{L}_v \omega = \omega$, (Then $\alpha := \iota_v \omega$, $d\alpha = \omega$ and $\alpha \wedge d\alpha = \frac{1}{2} \iota_v (\omega \wedge \omega)$ is volume form.)

And $\xi = \text{Ker } \alpha$.

$d\alpha = d(\iota_v \omega) = \mathcal{L}_v \omega = \omega$.

of M .

Strongly filled \Rightarrow Weakly filled.

$\alpha \wedge d\alpha(v, u, u') = \omega(v, u) \omega(u, u')$

Thm. (X, ω) weakly fills (M, ξ) , then $\exists (X, \omega')$ strongly fills it.

$\xi \rightarrow$ basis of ξ . $\rightarrow M$ homology sphere.

* Extra hypothesis.

Thm. (X_1, ω_1) (convex) strongly fills (M, ξ) , (X_2, ω_2) strongly fills (M, ξ) with vector field points into X (Concave strongly). Then

$X = X_1 \cup_M X_2$ has symplectic form ω , $\omega|_{X_1} = \omega_1$, and away from a nbh of ∂X_2 , $\omega|_{X_2} = c\omega_2$.

Attaching handles!



$c > 0$ const.

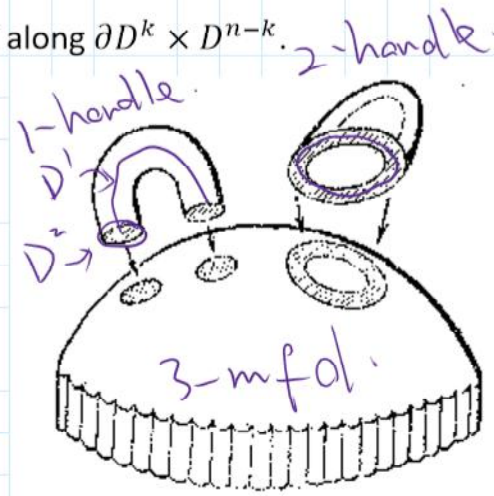
$u_{\partial X_2}$

k-handle attached to n -mfd: A copy of $D^k \times D^{n-k}$ attached to ∂X along $\partial D^k \times D^{n-k}$.

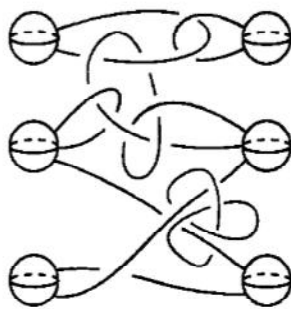
$\partial D^k = 2 \text{ pts}$

Handle decomposition of a (closed, connected) 4-mfd:

- A 0-handle. D^4 . $\partial D^4 = S^3 \sim \mathbb{R}^3 \cup \{\infty\}$.
- Some 1-handles: Connect a pair of balls (In S^4) to each other.
- Some 2-handles: Attach along some thickened knots in ∂X_1 , with framing.
- 3-handles and 4-handles are uniquely determined.

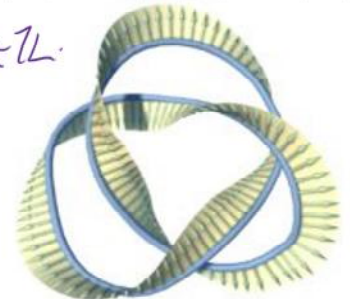


Kirby diagram:



$D^3 \times I$
 $S^1 \times D^2$

$n \in \mathbb{Z}$



Thm (Eliashberg, Weinstein) (X, ω) with strong or weak convex boundary.

X' is derived by:

- Attaching 1-handles to X , or
- Attaching 2-handles: A knot K , $T_x K \subset \xi_x$. Normal bundle of ξ in TM is a canonical framing (Contact framing) K . \rightarrow Legendrian knot

- Attaching 1-handles to X , or
- Attaching 2-handles: A knot $K, T_x K \subset \xi_x$. Normal bundle of ξ in TM is a canonical framing (Contact framing). K : Lagrangian knot.

Attaching the 2-handle with framing 1 less than the contact framing.

Then the symplectic form extended to X' , and the new boundary is still strong/weak convex!

Legendrian.

Thm (Eliashberg, Etnyre) Compact symplectic mfd (X, ω) with weak boundary can embed into a closed symplectic mfd (X', ω') .

maybe additional 2-handles.