

# D. Bar-Natan. On Khovanov's categorification of the Jones polynomial.

## ① Cube of resolutions

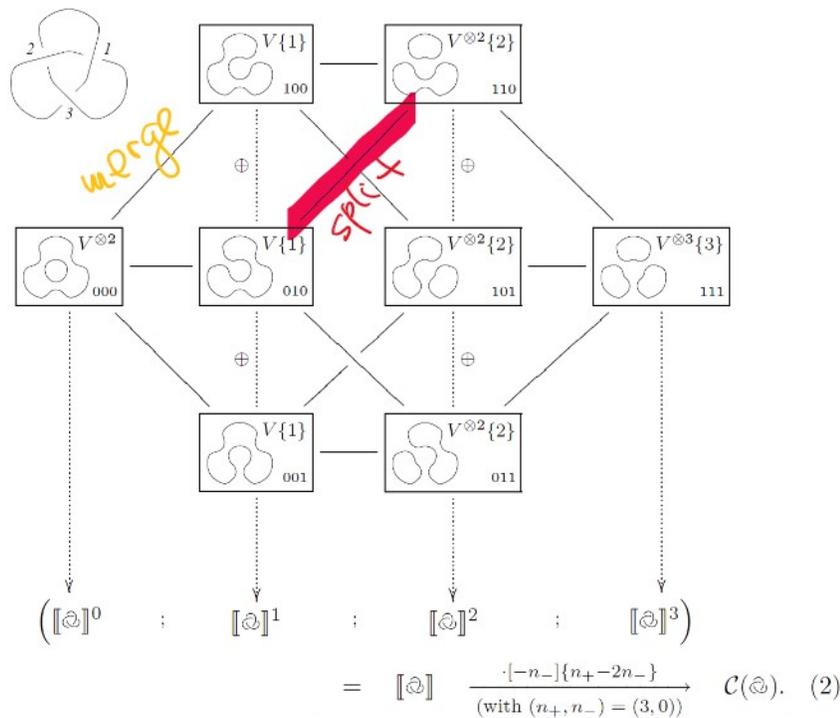
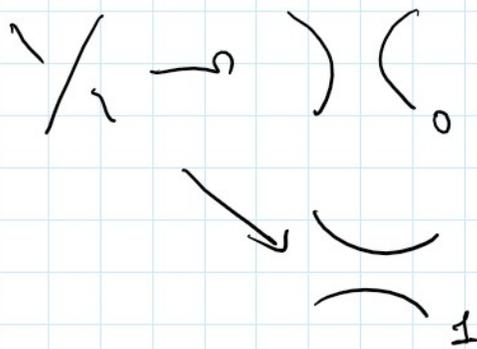


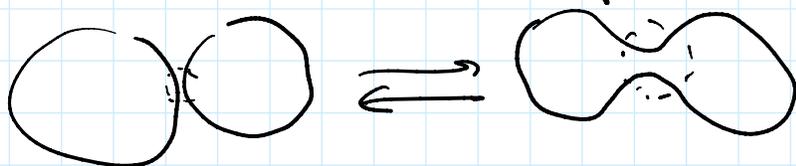
Diagram with  $r$  crossings  $\Rightarrow 2^r$  resolutions  
 = vertices of  $r$ -dimensional cube  $2^0, 2^1, \dots, 2^r$

$0$  = vertices of  $r$ -dimensional cube  $\{0, 1\}^r$

Vertices of the cube of resolutions  
 $\Rightarrow$  collection of disjoint circles.

Edges: swap one  $0$  with  $1$   
keep all other  $0$ 's and  $1$ 's unchanged.

That is, change the resolution of one crossing from  $0$  to  $1$ , keep the rest unchanged.



Either merge two circles into 1  
or split a circle into 2.

② Algebra  $A = \mathbb{Q}[x]/(x^2)$

$A$  is a two dimensional algebra  
with basis  $1, x$  and multiplication

$$m: A \otimes A \longrightarrow A$$

basis in $A \otimes A$	}	$1 \otimes 1 \longrightarrow 1$
		$x \otimes 1 \longrightarrow x$
		$1 \otimes x \longrightarrow x$
		$x \otimes x \longrightarrow 0 (= x^2)$

$$"A^{\otimes m}" \quad \left( \begin{array}{l} \text{is } \sim \\ x \otimes x \longrightarrow \tilde{0} (= x^2) \end{array} \right)$$

Comultiplication:

$$\Delta: A \longrightarrow A \otimes A$$

$$1 \longrightarrow 1 \otimes x + x \otimes 1$$

$$x \longrightarrow x \otimes x$$

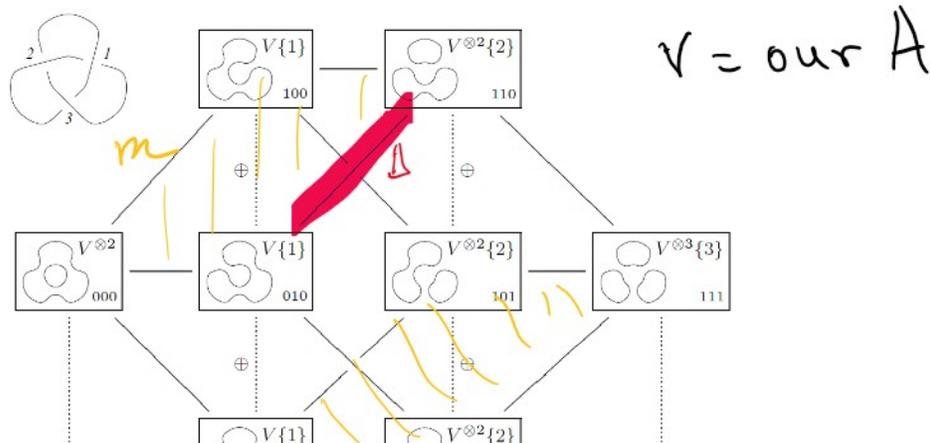
Rank  $A$  has a nondegenerate bilinear form, we can use it to identify  $A \cong A^*$   
 $m: A \otimes A \longrightarrow A$        $m^*: A^* \longrightarrow A^* \otimes A^*$  same as  $\Delta$ .

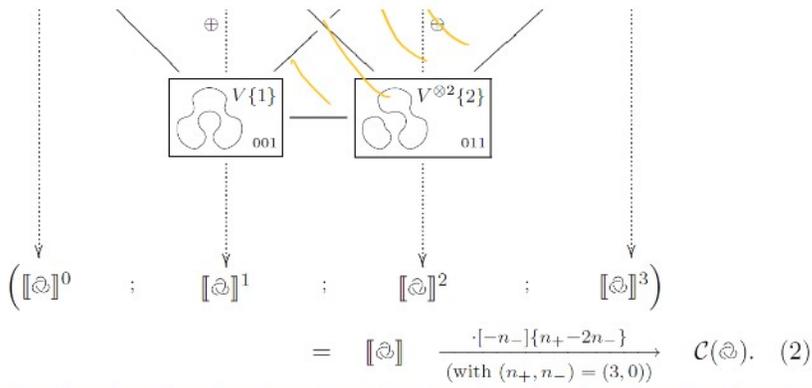
$$(1, x) = (x, 1) = 1 \quad (1, 1) = (x, x) = 0$$

$$(a, b) = \text{coef. at } x \text{ of } a \cdot b.$$

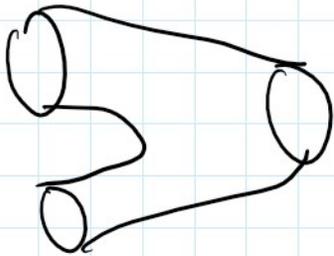
(3) Key idea: associate  $A^{\otimes m}$  to a resolution of our link with  $m$  circles.

Vertices  $\Rightarrow A^{\otimes m}$



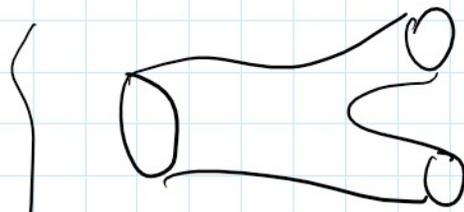


Edges  $\Rightarrow$  merge / split 2 circles



2 circles  $\Rightarrow$  1 circle  
0-resolutn  $\Rightarrow$  1-resolutn

$$m: A \otimes A \rightarrow A$$



1 circle  $\Rightarrow$  2 circles  
0-resolutn  $\Rightarrow$  1-resolutn

$$\Delta: A \rightarrow A \otimes A$$

Edges  $\Rightarrow$  linear maps between spaces at vertices.

$$A \otimes A \otimes A \xrightarrow{m \otimes \text{Id}} A \otimes A$$

other circle

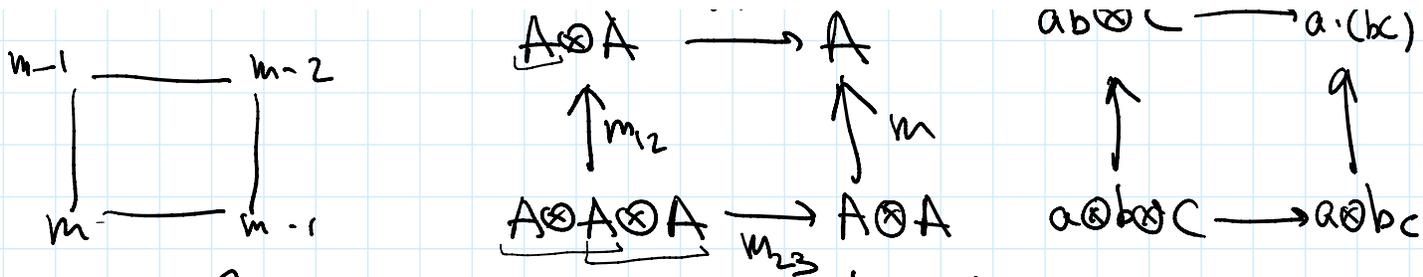
Lemma: All 2-dimensional faces commute.

Proof: Several checks

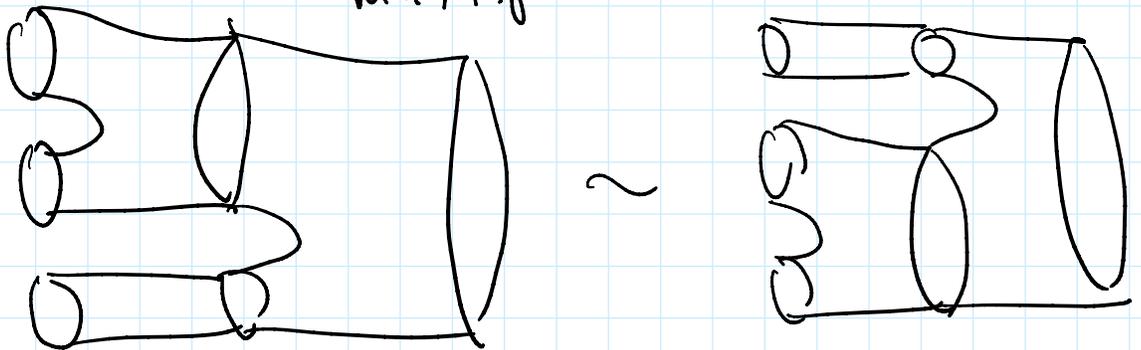
$$A \otimes A \xrightarrow{m} A$$

$$a \otimes c \xrightarrow{(\cdot)} a \cdot c$$

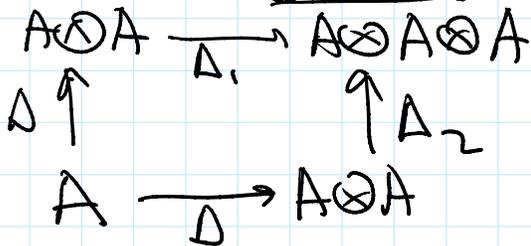
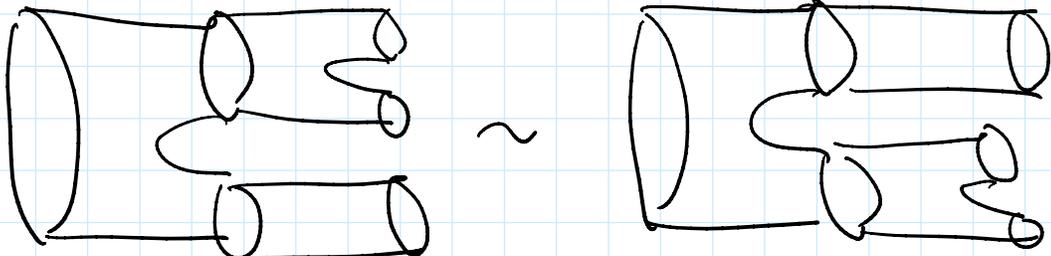
$(ab) \cdot c = a \cdot (bc)$



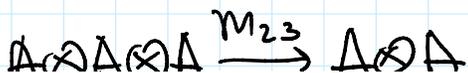
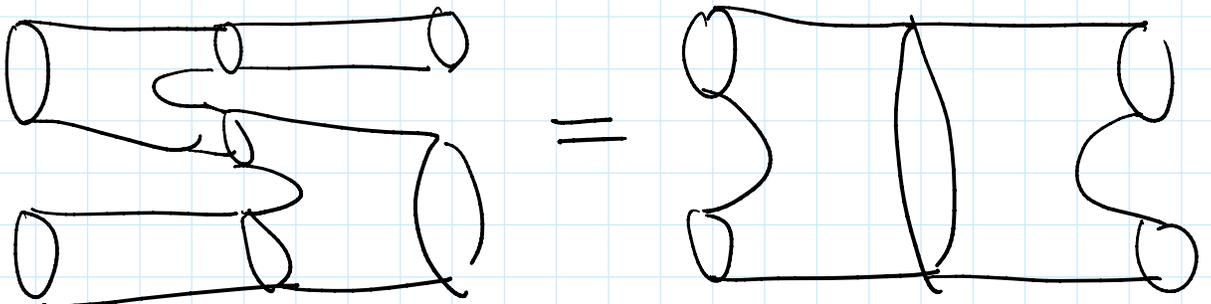
This is associativity of multiplication!



Coassociativity



Frobenius relation



$$\begin{array}{ccc}
 A \otimes A \otimes A & \xrightarrow{m_{23}} & A \otimes A \\
 \Delta \uparrow & & \uparrow \Delta \\
 A \otimes A & \xrightarrow{m} & A
 \end{array}$$

commutative diagram.

(this is a check)

Works for our choice of  $m, \Delta$ . (Exercise).

Remark We can look at "1+1 dim TQFT"

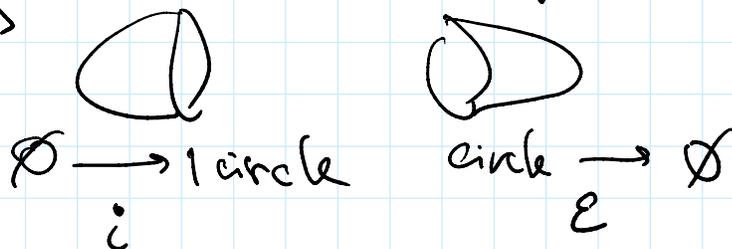
Objects = collections of circles

Maps = cobordisms between them / isotopy.

The above relations generate all isotopy.

Need two more maps

+ some relations for those.

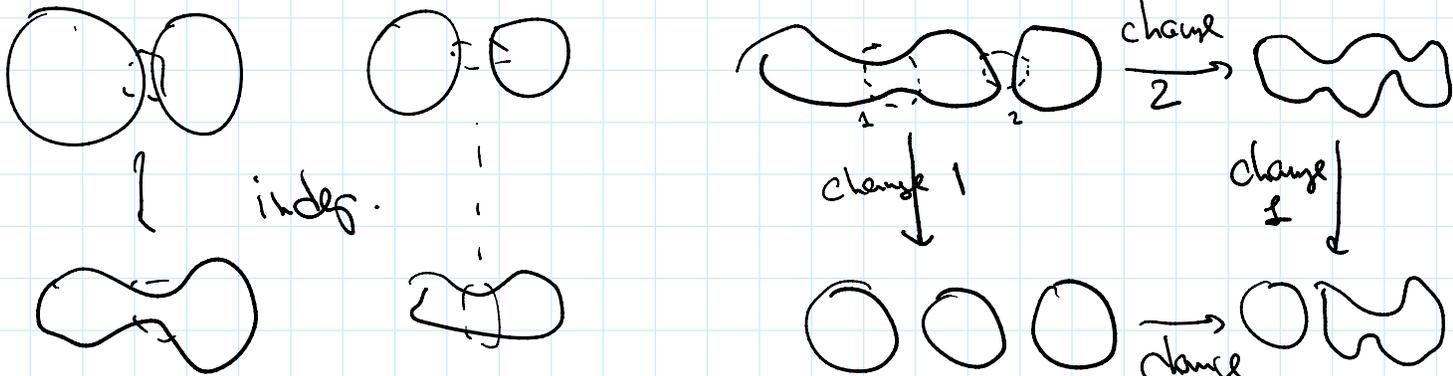


An algebra  $A$  with  $m: A \otimes A \rightarrow A$ ,  $\Delta: A \rightarrow A \otimes A$  is called a Frobenius algebra, if it is commutative, cocommutative, assoc., coassoc.

Frobenius relation,  $i: \mathbb{Q} \rightarrow A$  unit  $1 \rightarrow 1$   
 $\epsilon: A \rightarrow \mathbb{Q}$  counit  $1 \rightarrow 0$   
 $x \rightarrow 1$

Remark There are lots of Frobenius algebras.

2d faces of the cube = pairs of crossings.



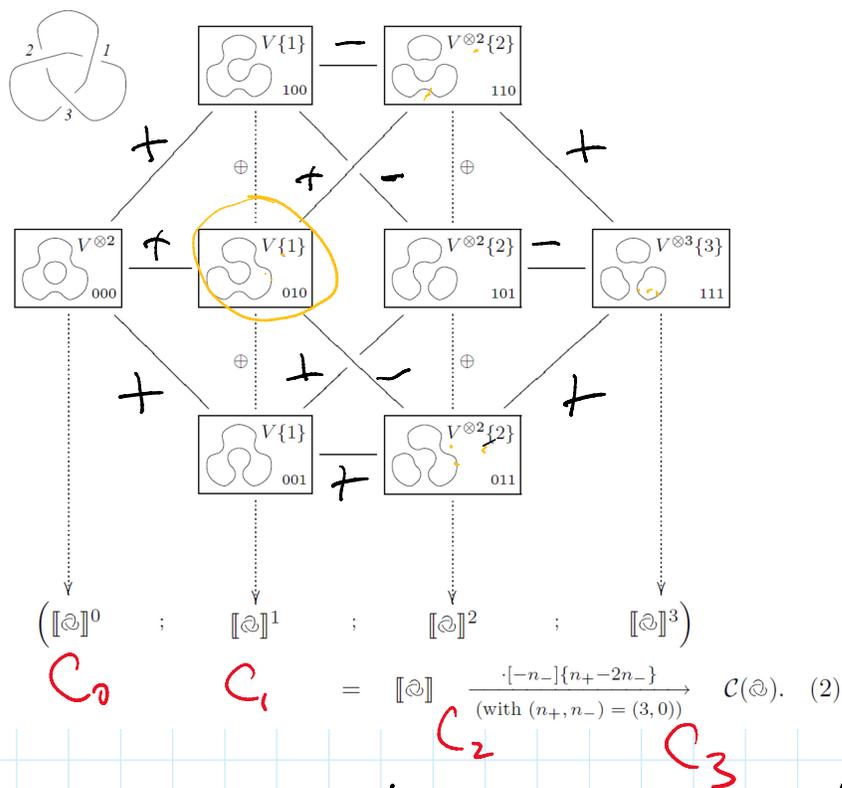
Go through all possible cases for pairs of resolutions  $\Rightarrow$

easy commutation, or assoc/coass. or Frobenius relation.

④ Cartan complex:

$$\rightarrow C_i \xrightarrow{d} C_{i+1} \xrightarrow{d} C_{i+2} \rightarrow \dots$$

$C_i = \bigoplus$  (all vector spaces at vertices  $v$  with  $|v| = i$ )  
 that is,  $v$  has  $i$  ones.  
 $n-i$  zeroes.



$d =$  sum of edge maps with signs

edge changes some 0 to 1  
 sign =  $(-1)^{\#1 \text{ before this } 0}$

In each 2d square we have odd number of minuses.

$\Rightarrow d^2 = 0$

Def Khovanov homology of  $L$   
 = homology of this chain complex

Thm (Khovanov) This is actually

Thm (Khovanov) This is actually a topological link invariant, does not depend on a diagram.

Proof Prove it does not change under Reidemeister moves.

Homology is actually bigraded:

- Homological grading =  $|v|$  number of ones (up to overall shift).
- $q$ -grading (or quantum):  
use grading on  $A$

$$\deg_q(1) = 0 \quad \deg_q(x) = 2$$

This defines grading on  $A^{\otimes m}$

$$\deg_q(a \otimes b \otimes c) = \deg_q(a) + \deg_q(b) + \deg_q(c)$$

$$m: A \otimes A \rightarrow A$$

preserves the grading.

$$\Delta: A \rightarrow A \otimes A$$

changes this grading by 2

$$0 \quad 1 \rightarrow \cancel{1 \otimes x} + x \otimes 1 \quad 2$$

$$2 \quad x \rightarrow x \otimes x \quad "$$

$$2 \quad X \longrightarrow X \otimes X \quad 4$$

One can adjust this grading by  $|v|$  and # circles such that the differential  $d$  preserves  $q$ -grading.

$\Rightarrow$  all homologies are bigraded by homological &  $q$ -grading.

$H_{i,j}$  = homology in hom. degree  $i$   
 quantum degree  $j$

$C_{ij}$  = chain groups  $\longrightarrow$

Observe:  $\sum (-1)^i \dim H_{ij} q^j =$

$$= \sum (-1)^i \dim C_{ij} q^j$$

can compute!

$$= \sum_{\text{vertices}} (-1)^{|v|} (\text{graded dimension of } A^{\otimes m}) \cdot q^{\dots}$$

$$= \sum_{\text{vertices}} (-1)^{|v|} (1 + q^2)^m \cdot q^{\dots}$$

= Jones polynomial of  $L$ .

— Jones  $\neq 0$  — — —

Jones / Kauffman: take same cube  
of resolutions, sum  $(-1)^{|v|} \cdot (q + q^{-1})^m$  over vertices.

Result = Jones poly up to a factor.

Thm (Khovanov)  $\sum (-1)^i \dim H_i \cdot q^j$  [graded Euler characteristic]  
Jones polynomial