

① Recap on s -invariant

$K = \text{Knot} \Rightarrow$ spectral sequence

$$H_{Kh}(K) \longrightarrow H_{Lee}(K)$$

bigraded by q, t -degrees t -graded q -filtered.

$x =$ generator in Lee homology
 $S(x) =$ maximal q -grading of its lift to Khovanov

$$S_{\max} = \max \{ S(x) : x \in H_{Lee} \}$$

$$S_{\min} = \min \{ S(x) : x \neq 0 \text{ in } H_{Lee} \}$$

Last time: We proved $S_{\max} \neq S_{\min}$ mod 4.

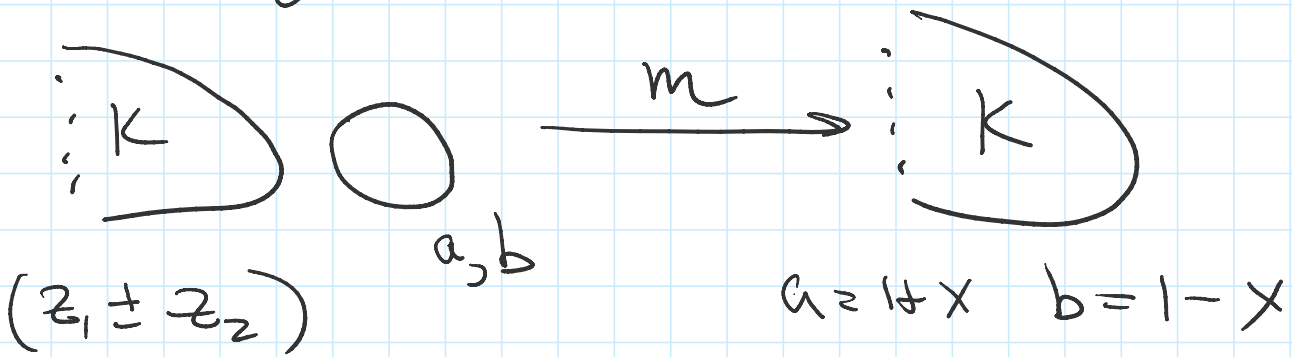
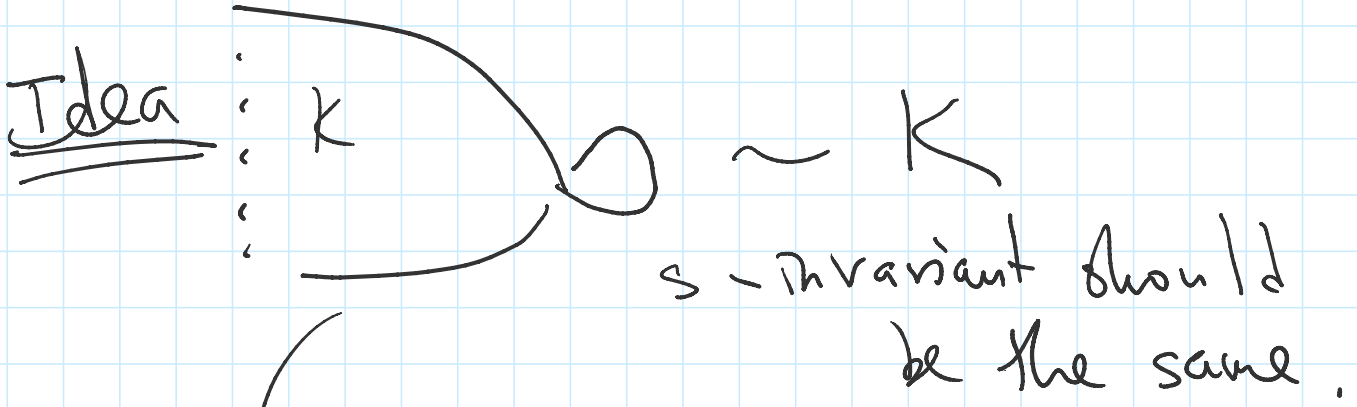
Lee's canonical generators z_1, z_2

$$\Rightarrow S_{\max} = S(z_1) = S(z_2)$$

$$S_{\min} = S(z_1 \pm z_2)$$

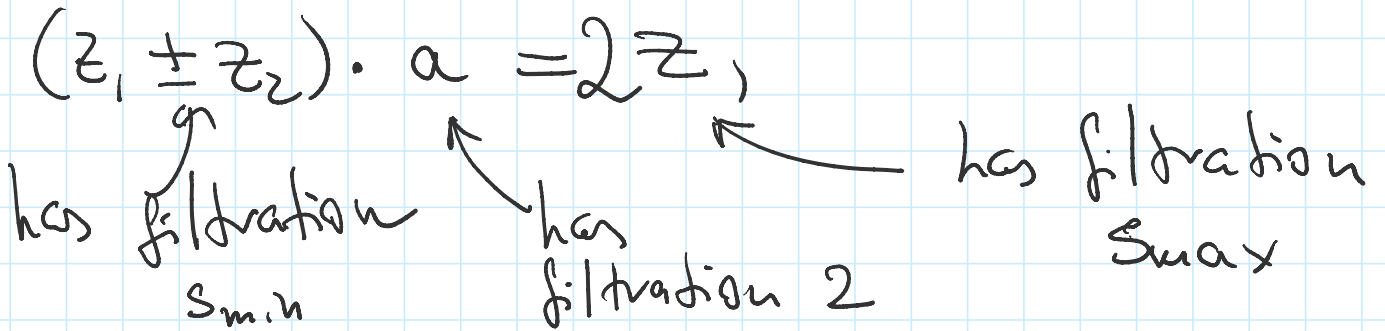
Thm (Rasmussen) $S_{\max} = S_{\min} + 2.$

1 km (Kromusker) $S_{max} = S_{min} + 2$.



Suppose z_1 has a near the crossing.
 z_2 has b near the crossing.

Know $a \cdot a = (1+x)(1+x) = 2 + 2x = 2a$
 $a \cdot b = 0$ in Lee homology.



$\Rightarrow S_{min} + 2 \geq S_{max}$

this is a lower bound. $S_{min} < S_{max}$

Now S_{\min}, S_{\max} have same parity, $S_{\min} < S_{\max}$.
different remainders mod 4 \Rightarrow

$$\boxed{S_{\min} = S_{\max} - 2.}$$

Def $S(K) = S_{\max} - 1 = S_{\min} + 1$

Ex K is a positive knot (all crossings are positive).

\Rightarrow oriented resolution is the same as 0-resolution, on the left end of the cube.

Suppose that in this resolution we have n crossings and K circles.

$z_1, z_2 =$ some product of a 's and b 's with K factors

\Rightarrow top degree term = $x \otimes \dots \otimes x$
 $\underbrace{\hspace{10em}}_K$

$\text{deg}_A = 2K$

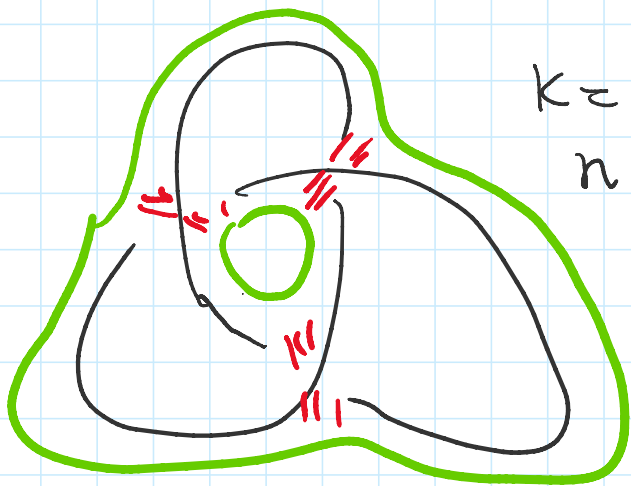
$$\begin{aligned} \Rightarrow S_{\max} &= 2K - (\# \text{circles} - 1) - n_+ + 2n_- \\ &= 2K - K - 0 - n + 0 \end{aligned}$$

$$= 2k - k - 0 - n + 0$$

$$= k - n$$

⇒ Know S-invariant!

$$S(K) = k - n - 1$$



$$k = 2$$

$$n = 3$$

$$S = 2 - 3 - 1 = -2$$

Can also consider Seifert surface by filling circles with disks and gluing them with twisted bands

$$\chi(S) = k - n = 2 - 2g(S) - 1$$

one bdy
component

$$k - n - 1 = -2g(S)$$

" $S(K)$

Conclusion: If K is a positive knot

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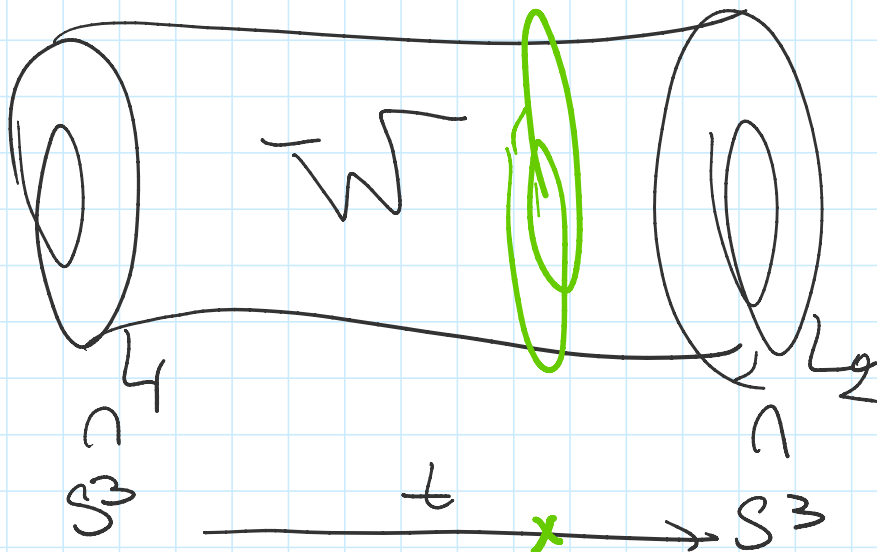
then

$$s(K) = -2g(\text{Seifert surface})$$

obtained by Seifert algorithm.

Next time $|s(K)| \leq 2g_4(K) \leq 2g(K)$

② Link cobordisms and movies.



$W \subset S^3 \times [0, 1]$ smooth surface

such that $W|_{t=0} = L_1$, $W|_{t=1} = L_2$

$\partial W = L_1 \cup L_2$

For links, we use diagrams and Reidemeister moves.

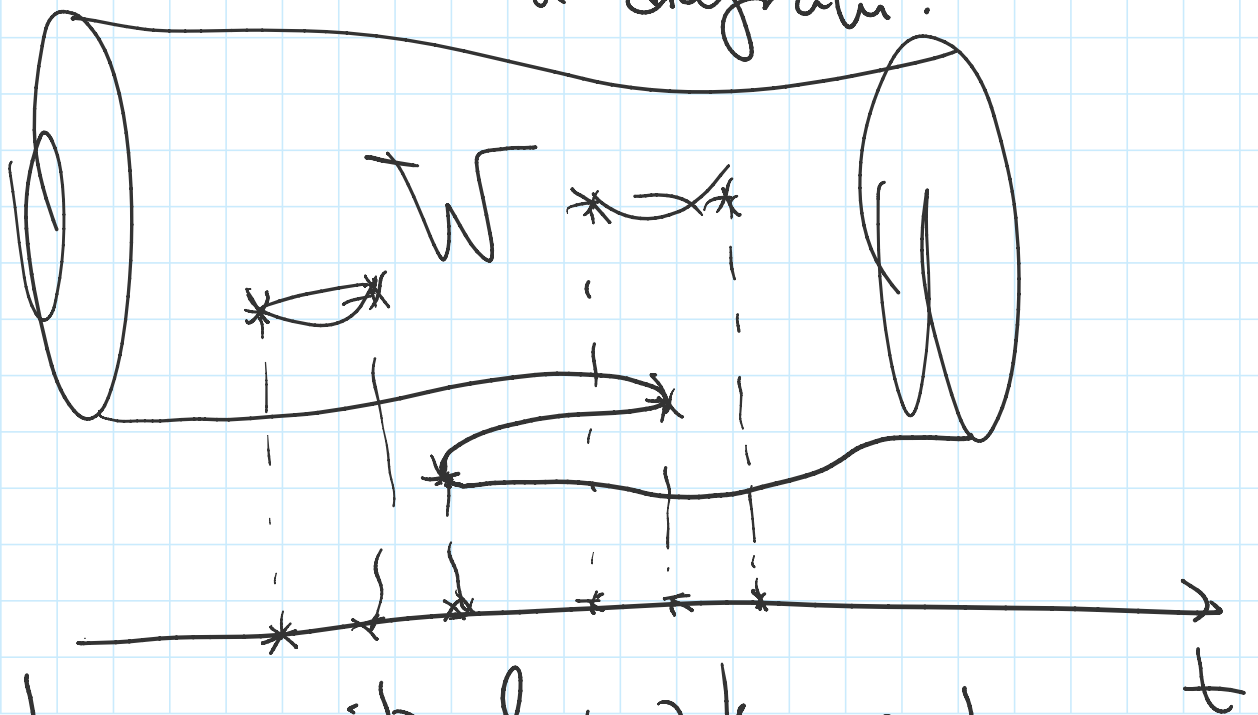
Reidemeister moves,

Q: Can we do ^{the} same for surfaces?

Idea: Put W in general position and regard t as a (Morse) function on W .

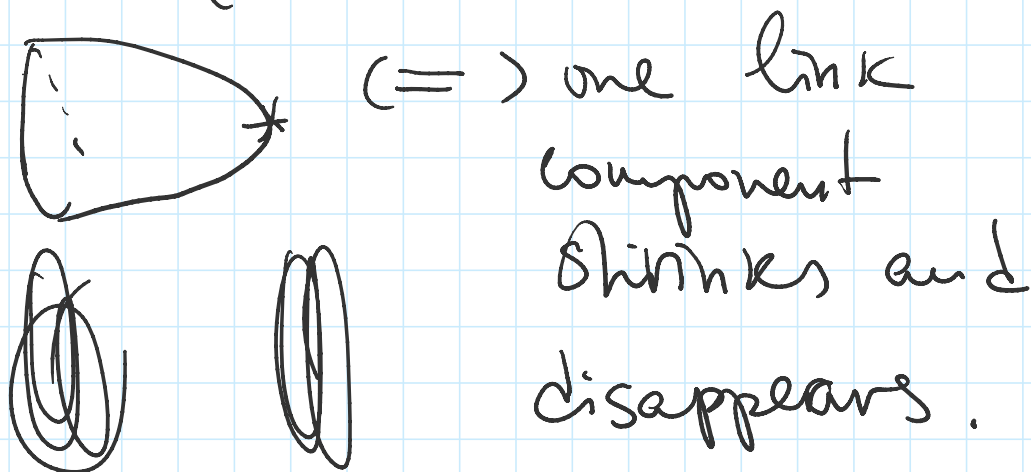
\Rightarrow for each $t \in (0, 1]$ we can consider the "slice" $W_t \subset S^3$ for all but finitely many t this is a smooth link in S^3

\rightsquigarrow can present it by a diagram.

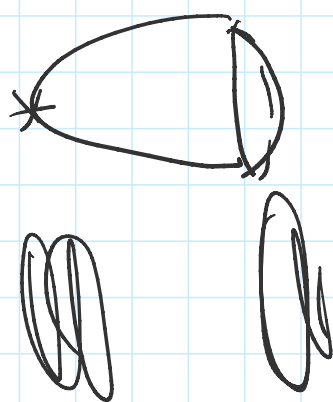


~~_____ * * _____~~
 between critical points, we have t smooth links in S^3 , and at critical points we have one of 3 cases:

(a) Maximum (local) of t



(b)



Minimum of t
 \Rightarrow create a small circle

(c)



this happens in some link diagram.

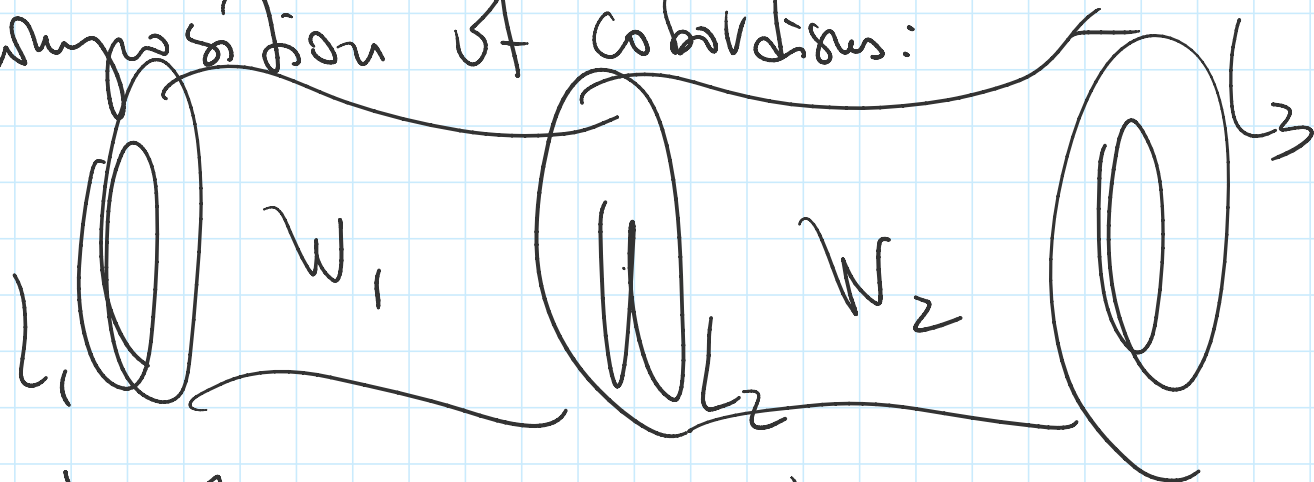
(1) Max between critical points

(d) Also, between critical points we need to consider isotopies of links in $S^3 \longleftrightarrow$ Reidemeister moves.

Conclusion Any such cobordism W can be presented as a "movie" of link diagrams.

Each "frame" is a link diagram
Next "frame" differs from a previous one by Reidemeister move or a Morse move (= create/kill a circle, saddle)

Composition of cobordisms:

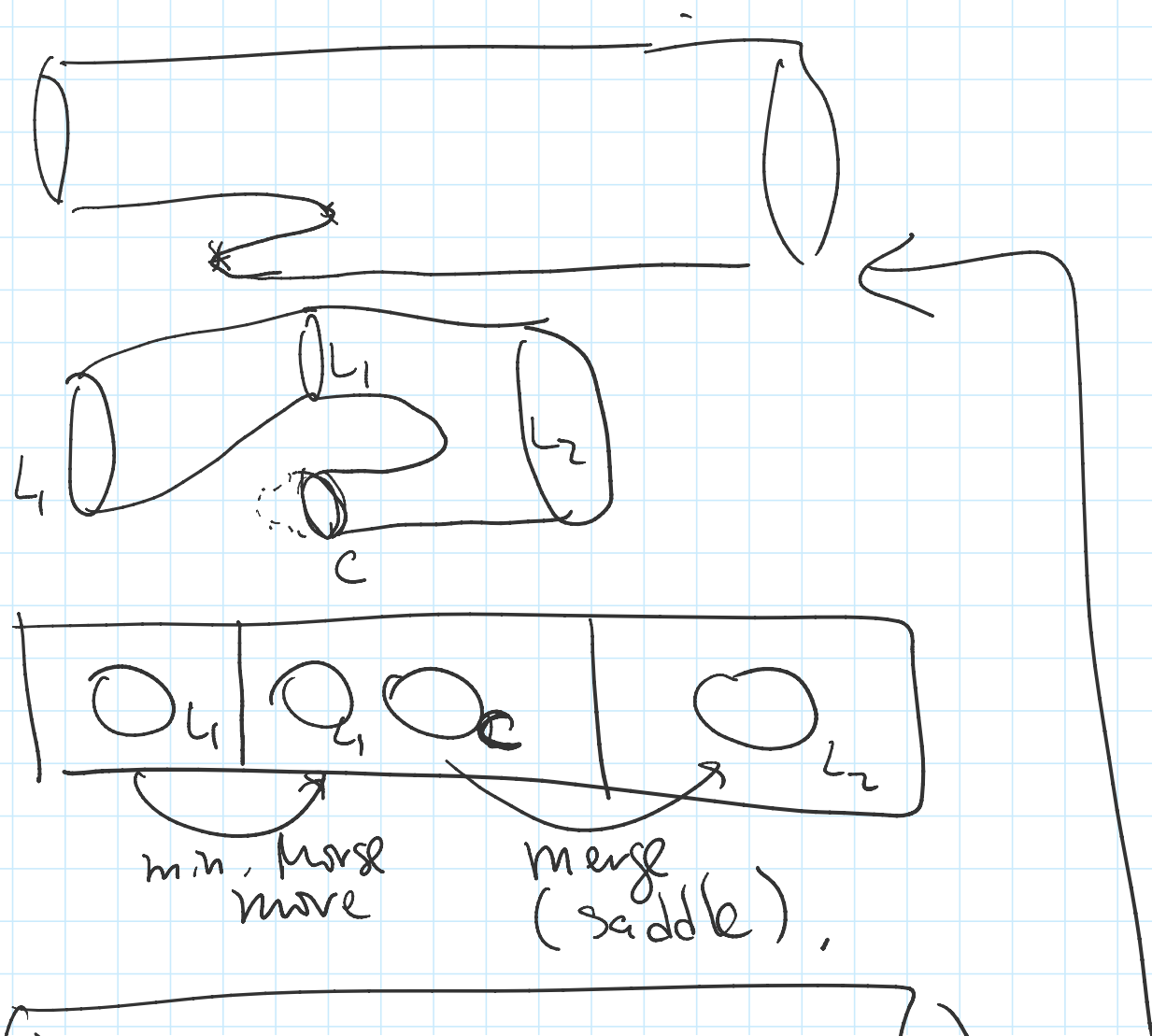


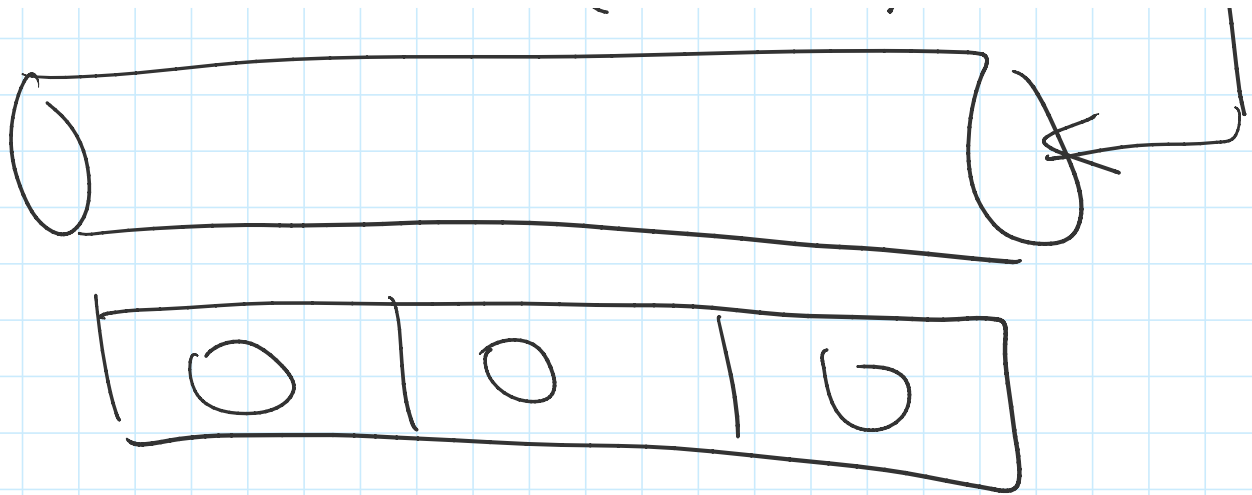
Note The same cobordism can

Note The same cobordism can be presented by different movies

Carto-Sainto: Two movies represent the same cobordism (isotopic in $S^3 \times [0,1]$)

\Leftrightarrow they can be obtained from each other by a sequence of "movie moves"





Thm 1 [Khoranov] W = cobordism
between L_1 and L_2

\Rightarrow there is a linear map

$$H_{KH}(L_1) \xrightarrow{\Phi_W} H_{KH}(L_2)$$


Thm 2 [Jacobsson
Blanchet
Caprau
...] Φ_W is invariant
under isotopies of W
(up to sign)


Idea: Present W as a sequence
of frames.

Need to define Φ_W for each
Resdenister or Morse move
and compose all these.

and compose all these.

Reidemeister moves \iff follows from invariance of H_k


$$\mathbb{R} \xrightarrow{i} A$$
$$1 \longrightarrow 1$$


$$A \xrightarrow{\varepsilon} \mathbb{R}$$
$$\varepsilon(x) = 1$$
$$\varepsilon(1) = 0$$

$$\cong \cong \quad m / M.$$

Idea of proof of Th 2: Check that

Φ_w defined this way is preserved
by all move moves!